



# Capstone Partners

Investment Banking Advisors

BUILT FOR THE MIDDLE MARKET

BOSTON  
CHICAGO  
LOS ANGELES  
PHILADELPHIA



## 2010 DEAL MAKER OF THE YEAR THE M&A ADVISOR AWARDS

Capstone Partners LLC was awarded the **2010 Deal Maker of the Year** honor by The M&A Advisor at the 9th Annual M&A Awards Gala and Summit held at the New York Athletic Club. Capstone also advanced as a finalist in two other categories, including **Boutique Firm of the Year** and **Middle Market Deal of the Year**. The ceremony to honor excellence in deal-making, included 234 finalists from across the country that were judged by an independent body of industry experts. Hosted by Margaret Brennan of Bloomberg, the Summit featured some of the industry's leading M&A professionals, including industry stalwarts Wilbur L. Ross and Robert "Steve" Miller, among others. John Ferrara, Capstone's President and Managing Partner, "This is a firm achievement that is not only reflective of the work we have done for our clients, but also all the hard work we invested in developing our firm throughout the year." During 2010, Capstone launched a valuation practice; formalized a merchant banking arm; acquired the middle market practice from Morgan Stanley Smith Barney, which included offices in, Chicago, Los Angeles and Philadelphia; and is in the process of completing a strategic transaction that will include the addition of a restructuring and turnaround practice.

### HISTORY OF EXCELLENCE

**2010**

**Winner**  
**Deal Maker of the Year**  
**Finalist - Firm of the Year**  
**Finalist - Deal of the Year**  
*The M&A Advisor*

**Ranked as Top 20**  
**Investment Banking Firm**  
*Boston Business Journal*

**2009**

**Winner**  
**Middle Market M&A**  
**Financing of the Year**  
*The M&A Advisor*

**Ranked as Top 20**  
**Investment Banking Firm**  
*Boston Business Journal*

**2008**

**Winner**  
**Cross Border Deal of the Year**  
**Energy Deal of the Year**  
*The M&A Advisor*

**Ranked as Top 20**  
**Investment Banking Firm**  
*Boston Business Journal*

**2007**

**Winner**  
**Middle Market Financing**  
**Professional of the Year**  
*The M&A Advisor*

**Ranked as Top 20**  
**Investment Banking Firm**  
*Boston Business Journal*

**2006**

**Winner**  
**Best Places to Work**  
*Boston Business Journal*

**Ranked as Top 20**  
**Investment Banking Firm**  
*Boston Business Journal*

**2005**

**Winner**  
**40 Under 40**  
**Top Entrepreneur**  
*Boston Business Journal*

**Ranked as Top 20**  
**Investment Banking Firm**  
*Boston Business Journal*

**2004**

**Registered Broker-Dealer**  
**Capstone Capital Markets LLC**  
*Member FINRA/SIPC*

**Ranked as Top 20**  
**Investment Banking Firm**  
*Boston Business Journal*

